PH.D. IN SOCIAL WORK

Program Policies and Resources

Program Objectives and Structure

Overview

The program of doctoral study consists of two major components: coursework and dissertation. These integrated components are designed to teach students the knowledge and skills necessary for future leadership positions in policymaking, service development, education, practice, and research.

The program requires a minimum of two years to earn the 48 credits necessary for the degree. Students may take courses on a part-time or full-time basis. During the first two to four years of study students complete 48 credits.

A primary objective of the Ph.D. program is to educate social work scholars and researchers. In support of this objective the curriculum consists of two required courses in social statistics, four required research methods courses that cover quantitative and qualitative research methods, and a course on the philosophy of science.

In addition to the required courses, students may choose to enroll in additional research methods and statistics courses either in the Graduate School of Social Service or in other divisions of the University. All students are required to take at least one semester of an advanced research practicum in conjunction with their third or fourth required research methods course. During the practicum they will assist a faculty member in an ongoing research activity.

A major responsibility of all social work doctoral programs is to prepare graduates who will assume academic positions in social work education. In recognition of this responsibility, the Ph.D. program offers a course on social work education. In addition, all students are expected to take a one-semester teaching practicum in which they will assist a faculty member in the design, development, and delivery of a graduate-level social work course. Students who have the interest and expertise will have the opportunity to teach in the Graduate School of Social Service’s M.S.W. and B.A.S.W. programs.

Rounding out the coursework are elective courses and independent study, which give students the opportunity to individualize their education further and develop greater knowledge in particular areas. Students are expected to take some of their electives in other academic divisions of the university to broaden their perspectives on particular topics. To ensure integrity in the coursework academic advisors assist students when they choose electives.

On completion of 48 credits and passing the doctoral comprehensive examinations, each student begins work on developing a dissertation proposal. At this point the student assembles a dissertation committee that will review and approve the dissertation proposal.

After a successful proposal review each student continues to work with the committee, which oversees execution and completion of the dissertation research. The dissertation committee is chaired by a faculty member and includes two other members one of whom is selected from outside the Graduate School of Social Service. Although the dissertation committee is formed officially after students pass all comprehensive examinations, students are encouraged to discuss dissertation ideas with faculty members while they are taking courses. This process should help students to identify possible committee members before they must begin to prepare dissertation proposals.

While in the dissertation phase, students are required to register each academic semester for the maintenance matriculation with mentoring course.

Electives

Students take 6 credit hours of electives offered in GSSS Doctoral Program or other doctoral programs at Fordham University. At times after approval from the doctoral faculty advisor and the director of the Doctoral Program, a Doctoral student may be permitted to take a master level course.

In order to qualify for doctoral level credit, a course must:

- Further the student’s knowledge in the area of study or research reflected in his or her educational program.
- Present material not covered in the student’s previous education or other available doctoral level courses.
- Involve an assignment agreed to by the instructor and the student that exceeds work required of Master’s level students.
- Provide evidence of familiarity with prevailing theory and conceptualization of practice at a greater breadth, depth, and higher level of abstraction.

To meet the above criteria, the student must contract with the course instructor that these expectations will be met and must present to his or her faculty advisor, a written justification for taking the course.

If in the advisor’s judgment the student’s proposal fits into the student’s learning plan, the advisor recommends approval to the Director of the Doctoral Program. The Program Director must authorize any independent study.

Six credits in elective courses are a required minimum. Students might wish (or may be asked) to take more electives to gain added knowledge in their areas of concentration and to have better preparation for the Comprehensive Examination.

Electives may be taken in other graduate departments of Fordham University with the advice and consent of the student’s advisor, the Director of the Doctoral Program, and the chairperson of the other Fordham University graduate department.

Academic Progress Expectations and Timeline

Completion of Coursework

Full-time and part-time students are expected to complete course work in two to four years, respectively. Full-time matriculated students are expected to be enrolled in three or four courses per semester. Part-time matriculated students are expected to be enrolled in at least two courses per semester.

Completion of Comprehensive Examinations Paper

Students must complete this requirement within thirteen months of completing coursework. Students will be given two opportunities to complete this requirement.
Completion of a Dissertation Proposal
Students are expected to successfully defend a dissertation proposal within one year of passing comprehensive examinations.

Completion of Dissertation Research
Students are expected to successfully defend a dissertation within two years of successfully defending a dissertation proposal.

Grading
Grading System

<table>
<thead>
<tr>
<th>Letter Grade</th>
<th>Numerical Equivalent</th>
<th>Grade Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>95-100% (4.00/4.00)</td>
<td>Excellent</td>
</tr>
<tr>
<td>A-</td>
<td>90-94% (3.75/4.00)</td>
<td>Very good</td>
</tr>
<tr>
<td>B+</td>
<td>87-89% (3.50/4.00)</td>
<td>Good</td>
</tr>
<tr>
<td>B</td>
<td>83-86% (3.00/4.00)</td>
<td>Satisfactory</td>
</tr>
<tr>
<td>B-</td>
<td>80-82% (2.75/4.00)</td>
<td>Marginally adequate</td>
</tr>
<tr>
<td>C+</td>
<td>76-79% (2.50/4.00)</td>
<td>Not adequate, but passing</td>
</tr>
<tr>
<td>C</td>
<td>70-75% (2.00/4.00)</td>
<td>Minimally passing</td>
</tr>
<tr>
<td>F</td>
<td>0-69% (0.00/4.00)</td>
<td>Failing</td>
</tr>
</tbody>
</table>

Grading Policies
Students are required to maintain at minimum a B average in all courses (with no more than one grade lower than B) to remain in the Doctoral Program.

Grades in doctoral classes are based upon the student’s participation in class, examinations, and/or a final paper. The expectation for a final paper is that it will be comparable to a manuscript that could be submitted for publication.

University established deadlines for dropping courses will be adhered to, except in the case of an emergency. A written request to drop a course after the official drop deadline must be presented to the Doctoral Director, stating the nature of the emergency.

Incompletes in a course are granted only in case of an emergency. Excessive time demands will not be considered justification for an "incomplete" grade. Students should anticipate their workload demands, including coursework, outside employment, and family and personal obligations when signing up for courses at the beginning of the semester. A signed contract with the instructor, specifying the nature of the emergency and the revised completion date is required. The revised completion date must be within one month at the end of the course, except in extreme circumstances. If the incomplete continues after the month, the Director of the Doctoral Program must be notified in writing with the reason for the delay and a proposed date for completing the work. The incomplete will convert to a grade of F if the student fails to complete the course work by the revised date.

A student with an incomplete who does not have any grades of B- or lower on his/her record may register for new courses that do not have the course with the incomplete as a prerequisite. A student with an incomplete and one grade of B- on his/her record may not register for any new courses until the incomplete has been converted to a grade. If the incomplete becomes a grade of B or higher, the student may then register for new courses. If the incomplete becomes a grade of B- or lower, the student will be dematriculated from the Doctoral Program. A student with an F in a required course may not register for any additional courses until that course is retaken. The student may continue in the program and register for new courses upon achieving a grade of B or higher after retaking the required course. If the student achieves a grade of B- or lower upon retaking the required course they will be dematriculated from the program.

Students are expected to maintain at least a B average to remain in good standing in their studies. Students who receive a grade of B- or lower in more than one course will not be considered to be in good academic standing. Students who receive a second grade of B- or lower in any course will be removed from doctoral studies, pending the outcome of any appeal they make to the director of the program, the Doctoral Curriculum and Policy Committee, and/or the dean of the Graduate School of Social Service.

The program director will review the progress of students at the end of the academic year. Faculty advisors will be notified if any students are having difficulty in meeting the standards of the program, and will be asked to communicate this to the students so identified.

Practicums
Students enroll in practicums to enhance teaching and teaching skills.

These courses have the same numbers as the internships. Zero-credit, one-semester practicums in research (SWGS 7800 Research Practicum) and teaching (SWGS 7801 Teaching Practicum) are required for all students in their advanced year of studies. In certain cases (when educationally indicated), extending over two semesters, students may earn up to six credits for practicums in research and/or teaching. Students interested in this option should consult with the program director and their advisor.

Internships
Policy
When educationally indicated, a student may take or be required to take a professional practice internship as a required elective. This internship will be undertaken to enhance and broaden a student’s knowledge of and competency in a M.S.W. area where previous experience is inadequate. The internship may be required for all Ph.D. candidates who do not hold a master’s degree in social work and for all international students without a comparable M.S.W. in order to provide them with a professional social work experience in a social agency setting. Where indicated by the student’s goals and the educational plan developed, internships might include administrative or research experience. Internships may not be undertaken at an agency where the student is currently employed.

The internship shall be zero-credit course for one or more semesters, a one-semester, three credit course or a two semester, six credit course, carried out through the equivalency of one day a week over a semester (three credits), or over an academic year (six credits), or for a block of fifteen days for each three credits during the summer session.

Goals
1. To enhance student’s capacity for conceptualization through the formulation and testing of policy, program or practice principles in a social work setting.
2. To extend student’s knowledge and competence in the application and analytical examination of theoretical concepts, differential interventions or differential planning.
3. To extend the student’s opportunity for testing and developing innovative ideas.
4. To extend the student’s capacity to engage in social work theory building.
5. To extend the student’s ability to integrate areas of specialization and research

Procedures
Planning for the internship typically should take place during the beginning of the second semester of the first year, when the student and faculty advisor meet to discuss an educational plan. If internship is seen as part of the plan, student and advisor will identify the focus of the internship. The advisor or another faculty member may then serve as preceptor for the internship.

When an internship is required as a condition of program admission planning, it may commence at the onset of study.

Role of Internship Preceptor
The preceptor shall:

1. Meet with the student to clarify the focus of the internship and insure that the student has all necessary information about the nature of internships and the proposal outline.
2. Discuss the student’s idea for the internship, work with the student on the plan in relation to the educational objectives, in collaboration with the student select the type of agency setting conducive to their attainment and determine those methods to be employed in goal achievement.
3. Negotiate with the identified agency about the student's placement, its objective, planned activities and duration.
4. Serve as liaison with the agency based internship supervisor.
5. Prior to the completion of the internship consult with the supervisor and the student about the nature and quality of the internship experience.
6. Collaborate with the student in designing an outline for the final paper review the final paper and grade the internship.

In consultation with the preceptor and advisor, the student shall write a proposal prepared in accordance with the Outline for Internship Proposals. After review with student first by the preceptor and then by the advisor, the proposal will be submitted to the Director of the Doctoral Program for final approval.

If the Director has questions about the proposal, the student and preceptor may be asked to meet for discussion. When modifications are necessary, there will be a subsequent review prior to approval.

Proposals for the internship should have the signature of the preceptor and the advisor, together with any additional comments they might wish to make.

Students taking the internship are required to register for one or all of the following courses:

- SWGS 7300 Internship (one semester)
- SWGS 7301 Internship (two semesters)
- SWGS 7302 Internship (non-credit)

Statistics Course Waivers
Students may waive Statistics I and/or Statistics 2 by passing waiver examinations.

Statistics I and II are required courses in the Doctoral Program. To avoid redundancy in student’s education, qualified students are allowed to waive these courses. The waiver application must be submitted the Director of the Doctoral Program. The instructor(s) for the course(s) for which the waiver(s) is(are) requested will review the application(s) and decide whether the waiver(s) is(are) approved.

Please note that waivers do not apply to students who receive transfer credit, which is given at the time of admission. Waivers are for students who are not eligible for transfer credit, but believe they have fulfilled the statistics requirement by having taken graduate-level courses. Students who fail Statistics I or Statistics II may not request a waiver in lieu of re-taking either course.

A student must take a data analysis course(s) outside of the Graduate School of Social Service to replace the credit hours for each of the Statistics I and II courses for which a waiver is approved. A data analysis course may be an advanced statistics course (e.g., advanced regression analysis, path analysis, structural equation modeling) or a course on qualitative data analysis (e.g., content analysis, narrative analysis, linguistic analysis).

Research design courses, either in quantitative or qualitative methods, may not be used to meet this requirement. The number of credits of advanced data analysis courses must be equal to the number of credits for which a waiver is received (i.e., 3 credits if only Statistics I is waived and 6 credits if both Statistics I and II are waived). Prior approval of the advanced data analysis course(s) taken in the event of a waiver of Statistics I and/or II must be obtained from the Director of the Doctoral Program.

Waiver Criteria
To waive Statistics I or Statistics II, students must have received a grade of B or better in an equivalent statistics course. This course must have been completed within the past five years. Applications for all waivers must be verified by a check of the transcript by the Director of the Doctoral Program. Students are notified of a decision as soon as possible so they can enroll in another course if they qualify for the waiver.

Application Procedure
1. Applications are submitted to the Director of the Doctoral Program for review. A separate application must be completed for Statistics I and Statistics II.
2. After verifying that the student has received a grade of B in a statistics course that was taken within the past five years, the Director of the Doctoral Program will send the application to the statistics course instructor.
3. The statistics course instructor will notify the student and Director of the Doctoral Program of the decision.

Comprehensive Examinations
Purpose
The student will demonstrate mastery of a substantive area of social work or social welfare and the ability to design an empirical study. Demonstration of that knowledge will require the student to integrate and synthesize empirical and theoretical knowledge; critically analyze the existing research, including substantive gaps and methodologic weaknesses in the research; and discuss the implications of the study the design on practice and policy.

Successful completion of the comprehensive paper and all course work allows students to proceed to the dissertation.
Comprehensive Exam Paper

The student will develop a comprehensive exam paper. The student will work independently on the paper without consultation from any other person. The university's academic honor code applies to this comprehensive exam paper. The guidelines on plagiarism, including citing sources, paraphrasing, and the use of direct quotations found in the Publication Manual of the American Psychological Association (APA) should be followed. The paper will integrate policy, practice, theory and research evidence concerning a substantive area of social work or social welfare. The paper will:

- a) identify of a substantive area of social work or social welfare
- b) integrate discussion of the theories, policies and social work practices associated with the identified substantive area of social work or social welfare
- c) critically analyze the existing research, including substantive gaps and methodologic weaknesses in the research
- d) identify research question(s) critical for the advancement of knowledge in the identified substantive area of social work or social welfare;
- e) develop a research proposal for an empirical study that addresses the research questions;
- e) contain an appendix containing a systematic literature review focused on the identified research questions.

The comprehensive paper will be a maximum of 25 pages, not including the references and appendix.

Comprehensive Paper Proposal

Students will submit a proposal for the comprehensive exam paper. The paper proposal must be approved before beginning work on the paper. There are three deadlines for submission of comprehensive exam paper proposals: September 1, December 1, and May 1.

Students will receive feedback on the proposal within three weeks after submission. The paper is due four months after receiving approval for the proposal.

The proposal will include the following:

1. Problem statement, description of the substantive area of social work or social welfare that will be the focus of the paper, and its relevance to social work
2. List of references cited in the proposal in APA format.

The length should be a maximum of three pages, excluding the reference list.

Comprehensive Paper Structure

The page ranges here are suggestions within the maximum of 25 pages, not including the references and appendix.

1. **Definition and scope** of the topic (including main subtopics (1 to 2 pages)
2. **Synthesis** of existing policy, practice and empirical and theoretical literature (organized according to the subtopics) (14 to 17 pages)
3. **Strengths and limitations** of the literature on this topic:
   a. Methodologic issues
   b. Research questions addressed
4. **Future research** (1 to 2 pages)

5. Research Proposal (3 to 4 pages)
   a. Research questions and study aims (1 to 2 pages)
   b. Methodology (using correct terminology throughout) (3 to 4 pages)
      i. Study design (including name of design, unit of analysis)
      ii. Sample (including target population, study population, sampling plan, eligibility criteria)
      iii. Measures (including independent variables, dependent variables, potential confounding variables, and other key variables)
   iv. Data collection strategy
   v. Data analysis plan
   vi. Strengths and weaknesses of the methods with respect to the study aims

6. Practice and policy implications (1 page)

7. Appendix
   a. References
   b. Systematic literature review using the table and guidelines in Appendix B

Oral Defense

An oral defense will be conducted within three weeks of the submission of the comprehensive exam paper.

Comprehensive Exam Paper Committee

The Comprehensive Exam Paper Committee will consist of two faculty members. The committee will be responsible for approval of the proposal, the final paper, and the oral defense.

Timeline

- **Comprehensive exam paper proposal approval**: The comprehensive exam proposal must be approved within one semester after completing course work.
- **Proposal revision**: If the Comprehensive Exam Paper Committee requests revisions, these must be submitted within three weeks. A maximum of one revision is allowed.
- **Comprehensive paper submission**: The comprehensive exam paper must be submitted within four months after approval of the proposal.
- **Comprehensive paper revision**: If revisions of the paper are requested, these must be submitted within three weeks. A maximum of one revision is allowed.
- **Oral comprehensive exam paper defense**: The oral defense will be conducted with three weeks of submitting the paper, or revised paper if applicable.
- **Comprehensive exam paper decision**: Students will receive a decision about the comprehensive exam within two weeks after the oral defense is conducted.

Note: The proposal and completed paper should be submitted to the director of the doctoral program by 5 pm of the due date.

Comprehensive Exam Paper Grading Rubric

Students must earn an average score of 3 to pass the comprehensive exam. A score of 4.5 or higher is a “high pass.” If students do not earn an average score of at least 3 on both the written paper and oral defense, they may submit a revision within one month.
The quality of the review/proposal is evaluated using the scale below, with 1 indicating the poorest and 5 indicating the highest possible rating. Descriptive anchors are provided to facilitate rating.

**Instructions for Systematic Literature Review**

Conduct a literature review on the specific topic and subtopics described in the paper. Specify the search terms you used, the bibliographic databases included in searching for the articles, and the eligibility criteria that you used for selecting the articles.

Include ten studies. Choose the studies most relevant to your topic. If there are more than 10 studies, choose those that are most rigorous and recent.

**NOTE:** In the comprehensive exam paper, the systematic literature review does NOT include conducting a meta-analysis. Existing meta-analyses can be incorporated into the systematic literature review. The following should also be provided:

- Search terms used
- Bibliographic databases searched: Inclusion criteria for studies

**Outline for Internship Proposals**

**Rationale**

The rationale should include statements indicating how the proposed internship matches the student’s educational objectives and a full description of what will be studied, i.e., the content of the learning activities explaining how they will further the attainment of the student’s objectives.

**Plan**

The plan for the proposed internship should include where, how, and with whom the student will be working in the agency. It should also include how the agency supervisor will confer with the student’s preceptor. Discussion of the final paper as agreed upon by the student and preceptor must also be included.

**Preliminary Bibliography**

A preliminary reading list or bibliography based on initial exploration by the student and suggestions by the preceptor should be attached to the proposal.

**Deadlines**

- April 15 for Summer or Fall Internships (*Summer internships will be registered as Fall courses)*
- December 1 for Spring Internships

**Examples of Possible Internships**

The following examples are merely suggestive as to the type of internships that may be developed:

- **Student Interested in Education or Teaching:** The internship could be developed in our Master’s program where the student could teach several sessions in a faculty member’s course, develop and teach an entire course, perhaps in the continuing education program, provide field instruction, train new field instructors, or develop and conduct an in service training program
  - Preparation for these assignments could involve the student in reading extensively about the theories of learning, pedagogy, androgyne, methods of instruction, evaluation of teaching programs, and in the substantive subject to be taught. The final paper could be an evaluation of student learning in a class or field situation, or a paper that shows an integration of the advantages or disadvantages of different methods and theories about teaching social work concepts.

- **Student Interested in Policy or Program Development:** The student could be placed in a city or state level office responsible for developing policies or new programs. The internship might be in a state legislator’s office, a city or state office on aging, or a national voluntary agency with a policy division (i.e., NASW, National Home Caring Council, etc.) where the student might be assigned to work on various aspects of developing legislation and preparing the bill for submission to the legislature.
  - In such a setting it might be sufficient for the student to be an observer, recording what transpires and thereby documenting, descriptively, the entire (or a major piece) of the legislative process.
  - The final paper assignment could then be a detailed analysis and evaluation, utilizing relevant theories of policy-making, decision-making, policy research, and/or program evaluation of what was done or not done.
  - Other opportunities for activities in this area might include the preparation of a position paper for a legislator reflecting a thorough policy analysis with anticipated and unanticipated consequences, or developing a social welfare needs assessment in a defined program area.

- **Student Interested in Research:** The internship could take place be with one of the research projects being conducted at this School’s Research Center, the all University Third Age Center, the university’s Hispanic Research Center or by an individual faculty member.
  - The student could be assigned a role in the research project or conduct observations of the complete research endeavor. The final paper could be a summary of the findings.

- **Students Interested in Direct Practice:** The student interested in developing advanced knowledge and skills about new modalities of direct treatment could be placed with an agency known to be working with or testing the new modalities. The student could have a role in or just be an observer of such activities.
  - An example is a student interested in gaining insight into programming for the well elderly rather than the frail or ill elderly. The internship could be a community setting for seniors where the students becomes a ‘shadow’ of the agency administrator or other program staff, to learn what programs are operating, the rationale for such programs, and to search the literature for existing content dealing with this target group.
  - The final paper might include a comparison and contrast in different types of programming, references to the literature and theory, as well as an evaluation and review of different practice approaches.

**Dissertations**

**Overview**

The final requirement for achieving the doctoral degree in social work is the completion and satisfactory defense of a research study which reflects the student’s mastery of the research process, and which makes
a contribution to knowledge. There are many models that a dissertation may follow. Copies of dissertations of GSS graduates can be accessed via the Fordham library database (Dissertations & Theses @Fordham). Dissertations are usually a highly detailed and technical investigation of some particular problem, concept or method, carried out on either a quantitative and/or qualitative basis.

Whether quantitative or qualitative, the study should reflect:

1. A defined source of data which allows one to generalize or develop a broader understanding beyond the particular cases being studied
2. Use of a clearly defined research procedure
3. Exploration of the interrelationship of key variables in a controlled or systematic manner. In all instances, the dissertation must represent an independent contribution to knowledge in the field of social work or social welfare. While the research may be part of a larger project undertaken by a team of investigators, the document offered for the degree must represent work for which the student has taken major responsibility in design, methods, research implementation, and interpretation.

Dissertation proposals for data analysis of an existing data set (for which study design, selection and operationalization of measures, and data collection have been completed) must meet exceptionally high standards for originality of the study aims, contribution to the field, and sophistication of data analysis.

In addition to contributing a contribution to knowledge, a dissertation is expected to demonstrate a student’s mastery of content in their area of specialization.

The student is expected to adhere to standards of scholarship and to demonstrate competence in appropriate problem, question, or hypothesis formations, development of design, data collection and analysis, drawing of sound conclusions and/or inferences, and preparation of an accurate and clear report. It is most important that students maintain professional standards for scholarship and research as delineated in the NASW Code of Ethics. Plagiarism is a very serious academic offense and materials quoted from other writers should be clearly attributed to the correct author. More information about acceptable social work academic practice in this area can be found in Szuchman and Thomlison, (2012)*.


Dissertation Proposal

As the student proceeds through the course of study, consideration should be given to identifying areas or topics of interest that might be studied in depth in the dissertation research process. The student’s faculty advisor, as well as individual course instructors, is available for discussion regarding this initial consideration of a research topic.

The proposal to be prepared for faculty committee review and approval should be a detailed description of the topic of study, in the context of existing knowledge, the methodology planned, and timetable. The faculty committee may require alteration in the proposal that has been developed in the seminar course. Students should consult Appendix F for an outline for the dissertation proposal. While the particular format of the proposal should be determined by the student and his/her committee, all items in the outline should appear in the proposal.

The student is required to choose a mentor/chair and other committee members with earned doctorates when he/she first begins to work on the proposal. When the mentor and the student believe that the proposal is ready for the Dissertation Proposal Review, the review will be scheduled and the doctoral office notified of the time and participants.

The doctoral candidate shall prepare a proposal following the outline in the next section.

Dissertation Proposal Review

Students and proposal committees should review the University’s IRB procedures during proposal development. The student and dissertation chairperson will have to complete the CITI Protection of Human Subject online training before submission of study’s protocol to the IRB for review. To obtain for the application forms, and access IRB resources the students will need to log-in to Mentor IRB, this online system manages IRB protocols.

The University’s IRB website provides detailed information on how to access the Mentor IRB system.

A copy of the proposal must be distributed to the Dissertation Review Committee at least two weeks prior to the scheduled proposal defense. This Committee consists of the candidate’s Dissertation Committee (mentor, another Fordham faculty member, outside member), an additional Fordham faculty member who has not been involved with the proposal preparation (this person is selected from the School’s faculty by the Doctoral Program director), and the Director of the Doctoral Program (ex officio).

The Dissertation Proposal Review will be scheduled for two hours. The format of the proposal review will be determined by the Committee and the candidate. In general, the candidate will present the planned research. The candidate is encouraged to use visual aids (e.g., overhead projector or PowerPoint) and/or handouts. The formal presentation will be followed by a question and answer period between the members of the Dissertation Review Committee and the candidate. The candidate will then leave the meeting and the Dissertation Review Committee will have 20 minutes to come to a decision.

The decision (Accept, Accept with Minor Revisions, Accept with Major Revisions, or Reject) will represent the majority opinion of the Dissertation Review Committee. The Chair of the Dissertation Review Committee will document the decision and distribute it to the other Committee members within one week of the defense. The Committee members may submit revisions to the Chair within one week after receiving the written decision.

The Chair will then provide the candidate with the written decision of the Committee. The Chair may provide oral feedback to the candidate following the defense.

Other faculty members and students may attend the formal presentation and question-and-answer parts of the proposal defense as nonparticipating observers.

Dissertation Chair and Committee

The Dissertation Committee will continue to work with the student after the proposal is approved and accepted. In some cases, the student may want to have a non-faculty member on the committee because of this person’s expertise in the topic or area of the research. If the person does not have an earned doctorate and is not a member of a university faculty, then such a person can be added as a fourth member of the committee.
The Dissertation Committee’s function is to assist the student in carrying out the research design and in the writing of the dissertation. As materials are developed, they should concurrently be submitted to the chair and to the other two members of the committee for review. The student may be required to make modifications in the design of the study as the committee advises. The chair may call meetings of the committee when indicated. The chair with the approval of other committee members also schedules the oral defense.

The mentor should inform the Doctoral Director at the end of each semester about of the progress of each student about the progress of each student in completing his dissertation.

Dissertation Defense
When the chairperson, in consultation with other committee members, decides that the dissertation is ready for defense, he or she will arrange a time for the defense to take place. At least three weeks prior to the scheduled date of oral defense, final typewritten copies of the dissertation must be submitted to the Dissertation Committee Chair and other committee members.

The chairperson of the Dissertation Committee serves as the chair of the defense meeting, guiding and focusing the discussion so that the student’s scholarship and research abilities can be accurately and fairly evaluated.

When the student’s defense has been completed, he or she will be asked to wait while the committee members confer and reach a conclusion as to whether or not the defense has been satisfactory.

The student will then be invited to rejoin the committee, be informed by the chairperson as to the committee’s decision, and if indicated, be given a verbal explanation of the reasons for an unsatisfactory rating, areas needing re-doing or modifications, or other problems.

When the defense has been satisfactory, the approval form should be signed by all committee members, and given to the Director of the Doctoral Program.

In cases where the defense has been unsatisfactory, the Director of the Doctoral Program should be notified immediately. When a student’s defense is rated unsatisfactory, or when major modifications are required, the chairperson should prepare a written statement outlining the reasons for the rating, areas requiring modifications, or other problems and make this available to the student as soon as possible.

Students may require consultation on methodological or substantive issues that are not available from the faculty at the Graduate School of Social Service. Students are responsible for obtaining any outside consultation necessary to complete the dissertation.

Final Preparation of Dissertation
After successful defense, when any necessary corrections have been made the chairperson must give final approval that dissertation standards have been met. Following this final review, the student must prepare the dissertation to be deposited electronically. Instructions for this procedure are available from the director of the doctoral program. Dissertations must conform to the APA style manual. Each final copy should have a title page, an abstract of not more than 350 words and a statement of acceptance signed by all committee members. When the dissertation is deposited, students must complete a Survey of Earned Doctorates Questionnaire and prepare a separate abstract to be deposited with Social Work Abstracts.

Deadline for Dissertation Completion
Students have a maximum of eight to ten years (for full-time and part-time students respectively) of active involvement as matriculated students in which to complete all work for the degree, including the writing and successful defense of a dissertation.

If a student is actively engaged in completing the dissertation and needs a brief extension of the deadline, that student may apply in writing to the Director, who will review the request with the Doctoral Curriculum and Policy Committee, where a final decision will be made.

Outline for Dissertation Proposal

- Table of Contents
- Abstract
  - 250 word or less description of the proposal’s goals and specific aims
- Literature Review
- Study Hypothesis
- Problem Statement/Rationale
  - Should state in a clear precise way what is the problem to be studied, why it is important, and how the proposed project will contribute to social work knowledge. The hypothesis or major questions to be answered by the dissertation should also be included.
- Conceptual Framework/Theoretical Model
  - This very important section should provide a detailed review of the theoretical frame of reference being used in carrying out this study. Included must be a detailed review of the literature and research related to the topic. It should represent a synthesis of the state of the art in the topic area. References should be appropriately footnoted.
- Proposed Methodology
  - This section should include five subsections:
    - Data analysis plan
    - Sampling plan
    - Study design
    - Data collection plan
    - Measures

The student must describe the proposed research methods to be used in the study including why they are believed to be appropriate approach. Also, the sources of data, the sampling procedure, and the limitations on generalizability of the study need to be defined. If a qualitative approach such as a case study is used, appropriate methodology should be specified as outlined in standard qualitative texts. The student should define the proposed sample size as well as the number of subjects who will be available. Any agreements that have been obtained that will assure access to the study sample should be specified.

In terms of the instruments, the variables in the study and how data on them will be collected, must be indicated. If using measures developed by others, the student should indicate how they were previously used, and any studies of validity and reliability, which have been done. If the student is going to construct his or her own measures, reasons why they are preferred should be stated; also, how it is proposed to
pretest the measures, check for reliability and for validity. Whenever possible the student should provide as an appendix, a draft of the instrument, indicating why the data collection method chosen seems most appropriate to the task. When using a qualitative approach the nature of interview guides should be specified ways in which authenticity and genuineness of the data is preserved.

The steps planned to be taken to assure the protection of human subjects in the study should be stated. The Doctoral Program’s administrative assistant should be consulted for all appropriate guidelines.

- **Plan for Analysis**
  - In this section the plan for analysis of the data to be collected should be detailed. If statistical tests are to be used, the student should indicate tentative thoughts on what the approach will be as well as what tentative bivariate and multivariate analysis will be undertaken.
  - Dummy tables for this may be used if desired. The student should be sure to show how the proposed analysis will answer the study questions (hypotheses) which have been posed. If content analysis of narrative data is used, the approach taken should be specified.

- **Timeline**
  - A Gantt Chart detailing the major steps in the work and the approximate times of completion should be developed.

- **Supporting Documents**
  - If proposing to work within an agency, a letter from the appropriate agency person, indicating the agency’s willingness to give access to study subject and/or case records should be included.

Examples of previous dissertations are available in the library. *Social Work Research and Abstracts* has a yearly review of all dissertations completed in the field of social work. Review of other appropriate abstracts and journals relating to the specific area may also be included.

**Institutional Review Board (IRB) Approval**

Students will also be required to receive IRB approval before proceeding with dissertation research. Study protocols for IRB review are submitted electronically. Electronically submission is done on the University’s IRB website. All study protocols must receive IRB approval. IRB policies and procedures for determination of the type of review a particular study will require are found on the University’s IRB website.

**Research Award**

A research award is presented annually to a Ph.D. graduate that has prepared the year’s most outstanding dissertation. The awardee’s name appears in the commencement program and includes an honorarium.

**Eligibility**

Any student graduating between August of the previous year and May of the current academic year is eligible to receive the award.

**Procedure**

The chair of a student’s dissertation committee must nominate a student for the award and must submit to the doctoral program director an electronic copy of the student’s dissertation. Nominations for the award must be received by the doctoral program director by April 1.

Two faculty members named by the program director will review the submitted dissertations. They will inform the program director of their decision by April 15. The winner of the award will be announced at the School’s commencement exercises.

**Criteria**

The faculty members will use the following criteria when making their decision:

1. **Originality and Contribution**: The reviewers will consider the importance of dissertation’s subject matter, the contribution the study makes to our knowledge base, and the significance of the findings for social work practice and/or social policy.
2. **Study Design**: Factors including the design’s rigor, appropriateness, and implementation will be considered.
3. **Quality of Scholarship**: How well is the study anchored in the extant literature? How well are the study’s conceptual and theoretical foundations developed?
4. **Descriptive Clarity**: How well is the study rationale described? Are procedures, research questions, study rationale, etc. clearly described? Could the study be replicated readily?
5. **Depth and Breadth of Analysis**: Is the student’s thinking clearly explicated? Does she consider a range of possible explanations? How well does she develop the implications of the study and its findings, including its strengths and limitations?
6. **Written Quality of the Dissertation**: Is the dissertation organized well? Does it follow acceptable formatting and style guidelines?

**Appeals and Grievances**

**Overview**

If a student believes they have been aggrieved by a program practice or policy or by an action of a faculty member or staff member, they should:

1. Present their complaint in writing to the Director of the Ph.D. in Social Work Program.
2. At the Director’s discretion they will attempt to resolve or bring it before the faculty members of the Doctoral Curriculum and Policy Committee.
3. If the student disagrees with the decision of the Doctoral Curriculum and Policy Committee, she/he may bring the grievance to the Dean of the Graduate School of Social Service. The Dean’s decision is final.

**General Procedures**

All grievances must be clear and succinct. They must include:

- A statement specifying the specific action that is being grieved
- The grounds for the grievance
- The requested action or relief that the student is seeking.
- All grievances must be made in writing. All decisions will be reported to the student in writing. Copies of the student’s grievance and the decisions will be placed in the student's academic file.

**Grade Appeals**

Grades may be appealed when a student believes that a faculty member has used unfair or capricious practices to arrive at a grading decision or has miscalculated a grade.
• The student should appeal the grading decision first with the faculty member.
• If she/he disagrees with the results of the meeting with the faculty member, she/he may appeal the decision to the Director of the Ph.D. in Social Work Program. The appeal must be made in writing.
• If she/he disagrees with the Director’s decision, she/he may appeal the decision to the faculty members of the Doctoral Curriculum and Policy Committee.
• If the student disagrees with the decision of the Doctoral Curriculum and Policy Committee, she/he may appeal the decision to the Dean of the Graduate School of Social Service. The Dean’s decision is final.

Grade Appeal Procedure
All appeals must be clear and succinct. They must include:
• A statement specifying the specific action that is being grieved
• The grounds for the grievance
• The requested action or relief that the student is seeking.

All appeals must be made in writing. All decisions will be reported to the student in writing. Copies of the student’s appeals and the decisions will be placed in the student’s academic file.

Comprehensive Examination Result Appeals
A student must appeal any grading decisions related to the Comprehensive Examinations in writing directly to the Director of the Ph.D. in Social Work Program who will present the appeal to the faculty members of the Doctoral Curriculum and Policy Committee.

If the student disagrees with the decision of the Doctoral Curriculum and Policy Committee, she/he may appeal the decision to the Dean of the Graduate School of Social Service. The Dean’s decision is final.

All appeals must be clear and succinct. They must include:
• A statement specifying the specific action that is being grieved
• The grounds for the grievance.
• The requested action or relief that the student is seeking.

All appeals must be made in writing. All decisions will be reported to the student in writing. Copies of the student’s appeals and the decisions will be placed in the student’s academic file.

Other Appeals
• Students should present appeals related to any other program matter in writing directly to the Director of the Ph.D. in Social Work Program.
• If they disagree with the Director’s decision, she/he may appeal the decision to the faculty members of the Doctoral Curriculum and Policy Committee.
• If the student disagrees with the decision of the Doctoral Curriculum and Policy Committee, she/he may appeal the decision to the Dean of the Graduate School of Social Service. The Dean’s decision is final.

All appeals must be clear and succinct. They must include:
• A statement specifying the specific action that is being grieved
• The grounds for the grievance.
• The requested action or relief that the student is seeking.

All appeals must be made in writing. All decisions will be reported to the student in writing. Copies of the student’s appeals and the decisions will be placed in the student’s academic file.

Timeframes for Filing Appeals and Grievances
1. All grievances and appeals must be filed in writing within thirty (30) academic calendar days of the aggrieved action. Appeals of grades must be filed within thirty (30) academic calendar days of a grade’s posting.
2. All appeals of the faculty’s decisions must be filed within thirty (30) academic calendar days of the date on which the letter outlining the decision was posted.
3. The dean and doctoral program director must respond to a student’s appeal or grievance within thirty (30) academic calendar days of their receipt of the grievance or appeal.
4. The Doctoral Curriculum and Policy Committee must respond to an appeal or grievance at its next scheduled meeting. Appeals and grievances to the Committee must be received by the doctoral program director at least five working days before the Committee’s next scheduled meeting to be eligible for consideration at that meeting.