

COMPLIANCE AND RISK MANAGEMENT SECONDARY CONCENTRATION

Overview

Corporate compliance is an increasingly important area for organizations that encourages ethical conduct and a commitment to compliance with the law. As of 2015, 82 percent of organizations now undertake some sort of enterprise-wide compliance risk assessment, according to a Deloitte study.

This secondary concentration in compliance and risk management allows Gabelli School graduate students to build the skills needed to hold compliance and risk positions.

Graduate students who pursue the secondary concentration in compliance and risk management are encouraged to hold an internship in the field, to join the Graduate Finance Society, and to become a member of the Securities Industry and Financial Markets Association.

Requirements

To earn the secondary concentration, students must take three 3-credit courses for a total of 9 credits.

Course	Title	Credits
FNGB 74AT	Fintech Compliance- Asia to Us	3
FNGB 74AH	Global Corp Governance	3
FNGB 74AJ	Global Risk Management	3
Total Credits		9

The finance faculty recommends that students in this concentration also choose their other electives to touch on compliance- and risk-related topics.