COMPLIANCE (LAW) (CPGL)

CPGL 0099. Crisis Management. (3 Credits)

CPGL 0101. Human Rights Compliance. (2 Credits)
The relation of the corporation to human rights has changed dramatically over the past decade. For many years, company responses to the human rights impacts of their business operations consisted largely of voluntary corporate and social responsibility programs. Today, multiple regulations and guidelines, both domestic and international, create increasing obligations for corporate actors in the field of human rights. Not surprisingly, ethics and compliance professionals are now called on by their employers to assess human rights-related risks, develop programs to address those risks, and to publicly report on the company's performance on human rights. <p> The Human Rights Compliance Course will provide students with a comprehensive understanding of the current framework for human rights compliance for companies. The Course will examine emerging and expanding regulatory efforts within different jurisdictions that establish human rights obligations on companies. The Course will also explore developing international guidelines that seek to establish global human rights standards for all businesses, including the UN Guiding Principles on Business and Human Rights. Students will learn critical skills in the areas of human rights due diligence, disclosure and reporting. Finally, students will examine how shareholders, lenders, consumers, and the public evaluate corporate efforts to address human rights.

CPGL 0102. Internal Investigations. (1 to 2 Credits)
Dig into many corporate scandals and you will find bungled internal investigations that fail to address a festering compliance program. But done well, internal investigations can protect against risks, and strengthen a culture of compliance. This course will explore the structure of an internal investigation from the decision to commence one through completion. The goal of the course is to teach students to understand how to prepare for and conduct an effective internal investigation. Taking a practical and interactive approach, the class will cover, among other things, investigation plans, sources of information, interviewing techniques, privacy issues, and potential regulatory consequences of an investigation.
Attribute: LLM.

CPGL 0103. Employment Law. (2 Credits)
This online 1-credit course will provide students with a high-level overview of the most significant employment laws and principles that should guide employers through the employer-employee relationship—from the initial decision to fill a position to the ultimate decision to terminate the employer-employee relationship. Topics covered may include the appropriate classification of individuals who perform services in exchange for compensation, the tracking of employee time under the Fair Labor Standards Act, avoiding workplace discrimination, common employer pitfalls during the recruiting process, the navigation of interactive process that must inform reasonable accommodations, workplace harassment, the Family and Medical Leave Act, workplace privacy, and terminations.
Attributes: CORC, CRCP, LLM.

CPGL 0123. Constructing Next Generation Compliance Programs. (1 Credit)

CPGL 0127. Writing for Compliance Professionals. (1 to 2 Credits)

CPGL 0129. Corporate Social Responsibility: Practical Applications. (1 Credit)

CPGL 0156. Banking Regulation. (2 to 3 Credits)
This course provides a comprehensive overview of banking regulation and enforcement in the United States. The goal of the course is to provide students with broad and practical knowledge of U.S. banking regulation, including the U.S. system of financial oversight and regulation of banks, and financial crime and consumer compliance regulation and enforcement regarding the banking industry, in order to prepare students for careers in either the private or public sector, in litigation and/or transactional work.<p> Course topics will include the history of the U.S. dual banking regulatory system and the roles of the various regulatory agencies; the Federal Reserve system; deposit insurance and the Federal Deposit Insurance Corporation; federal and state prudential regulators in the dual-banking system, including the Office of the Comptroller of the Currency and the New York State Department of Financial Services; the basics of a bank's balance sheet and business models, including the businesses in which banks can and cannot engage; the statutory frameworks for the supervision of banks for safety and soundness including reserve, capital and liquidity requirements; the 1929 stock market crash and the 1933 banking reforms including the Glass-Steagall Act of 1933; financial holding companies and the Gramm-Leach-Bliley Act of 1999; the 2007-08 financial crisis and the Dodd-Frank Act of 2010; the 2023 bank failures and the roles of deposit insurance, interest-rate risk, and regulatory oversight versus management responsibility; systemic risk, bank failures, the Financial Stability Oversight Council, and orderly liquidation authority; the Consumer Financial Protection Bureau; international banking and the oversight of foreign bank branches; financial crime compliance, including the Bank Secrecy Act and U.S. economic sanctions laws; artificial intelligence and bank compliance models; the regulation of nonbanks, including money services businesses and "fintech" firms; climate change and the banking industry; and cybersecurity regulation related to the banking industry. <p> This course is taught by Adjunct Professor Maria Vullo, who served as Superintendent of Financial Services for the State of New York, where she led the agency responsible for the supervision and regulation of New York's banking and insurance industries. She also served as Executive Deputy Attorney General for Economic Justice in the Office of the New York Attorney General, was a partner in a major law firm for many years and served as chief legal officer of a bank. <p> This course will utilize a textbook and supplemental reading materials provided by Professor Vullo, including the current status of bank regulation in the new Administration.
Attributes: BFE, CORC, CRCP, LAWB, LLM.

CPGL 0202. In-House Corporate Compliance Practice. (2 Credits)
This course provides a general overview of the real-world practice of in-house corporate compliance professionals. Drawing on the experiences of a practicing in-house compliance counsel, the course examines the many challenges and compromises that accompany this practice. Students will learn how to balance value-based decision-making with strategic and tactical corporate priorities and accompanying budgetary constraints.<p> Course meets Oct. 4, 5, 11, 12, 18, 25, Nov. 1, 8, 2024 .
Attribute: JD.

CPGL 0203. Health Law Compliance. (2 to 3 Credits)
The healthcare industry is governed by numerous statutes that are actively enforced by Federal and State regulatory bodies. This Healthcare Regulations Compliance course focuses on the Federal and State statutory and implementing regulatory provisions enacted to detect and deter healthcare fraud, waste, and abuse. Over the course of the semester, students will cover, among other compliance laws and regulations, the following fraud and abuse laws: (i) the Federal and State False Claims Act; (ii) the Physician Self-Referral ("Stark") Law; (iii) the Anti-Kickback Statute; (iv) the Civil Monetary Penalty Law; and (iv) the Criminal Health Fraud Statute. Students will also learn the required elements of an effective compliance of ethics program and how to perform a risk assessment, including risk identification, scoring, and prioritization. In addition to the foregoing, the course will cover corporate governance, human subject research compliance, record management, as well as patient privacy and data security under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA") and the data breach notification provisions under Heath Information Technology for Economic and Clinical Health Act ("HITECH") provisions of the American Recovery and Reinvestment Act ("ARRA") of 2009.
Attributes: CORC, CRCP.

CPGL 0206. Compliance, Technology, and Financial Services. (2 to 3 Credits)
Emerging technologies provide opportunities for new and efficient ways to conduct business, but they can also raise a variety of potentially complex legal and compliance challenges. This class will provide an overview of the legal and regulatory context for current technology issues facing both large and small companies, including privacy, information security, electronic recordkeeping and employee supervision. Course content will focus primarily on the financial services sector; however the core compliance concepts included in the class will be broadly applicable across industries. Through analysis of regulations, interpretive guidance, case law and settlements, students will explore questions such as how do businesses use social networking systems like Facebook and Twitter, while dealing with obligations to store and supervise their electronic communications? What challenges are posed by domestic and international privacy and information security requirements when companies want to take advantage of cloud computing services, or deploy business systems across multiple jurisdictions or continents? How can a "bring your own device" policy permit an employee's personal device, such as an iPhone, to be used to conduct business and store proprietary information without undue risk? Course Materials and Meetings Course Materials: Course materials will be posted on TWEN. No materials are required to be purchased.<p> Attendance and Participation: Attendance is mandatory. Please notify us in advance if you cannot attend class due to religious observance, illness, family emergency or other legitimate reasons. Class Participation: Classes will generally be conducted as a mix of presentation and discussion. You will be expected to have read preparatory materials, and to participate actively in class. Laptops: Laptops are permitted, but we encourage you to limit note taking and memorization in favor of class participation, with a focus on appreciating the principles emerging from our analysis and discussions. Although legal materials will be used in this course, our emphasis is understanding how Compliance functions work, and the skills needed by Compliance staff to help translate legal and business requirements into cogent, documented and sustainable business processes and associated policies, procedures and supporting technologies. Recording: You are not permitted to record class sessions using a smartpen, dictation software or any other method.<p> Email: We may periodically send you emails via TWEN. You may reach us by email or phone during business hours via our contact information above. Course Evaluation
 Your grade will be based on a take-home examination, which you can take anytime during the exam period. Class participation will be taken into consideration as part of your final grade.
Attributes: CORC, CRCP, IPIE, JD, LAWT, LLM.

CPGL 0209. The Role of Compliance in Mergers & Acquisitions. (2 Credits)

CPGL 0210. Corp Political Compliance. (1 to 2 Credits)

CPGL 0211. Adv Crisis Management. (1 Credit)

CPGL 0212. Global Trends in Compliance. (2 Credits)
This course provides an overview on global trends in corruption, fraud, money laundering, sanctions and the growing use of financial statecraft and cybercrime issues facing the financial services industry. The impact of the invasion of Ukraine on the business model of Environmental, Social and Governance as it evolves to a new ESG model: Energy, Sanctions and Geopolitics, will be explored. The course provides an overview of the regulatory requirements for AML including the BSA, Patriot Act, 2021 AMLA, and FATF and FinCEN guidance, and the latest on initiatives to address kleptocracy and corruption as well as FCPA and sanctions compliance in light of the invasion of Ukraine. The emerging challenges in complying with US regulations such as the Uyghur Forced Labor Prevention Act and steps targeted at disincentivizing compliance with U.S. and other foreign laws, including the enacting of the Provisions on the Unreliable Entity List ("UEL"), Measures on Obstructing the Unjustified Application of Foreign Laws and Measures (the "Blocking Measures"), the Anti-Foreign Sanctions Law ("AFSL"), and Data Security Law and Personal Information Protection Law. The course will also provide an overview of the interrelationship between corruption, illicit finance stemming from human, wildlife and arms trafficking and market manipulation and investigatory techniques to analyze illegal activity. The SEC and Self-Regulatory Organization structure will be provided regarding AML. market manipulation and other illicit activity. Trends and typologies of cybercrime and regulatory responses will be discussed. The use of data, data governance, know your vendor requirements and related technology driven solutions including the use of Sandbox regulatory initiatives and financial innovation hubs will be discussed . The evolution of compliance and the three lines of defense and emerging "green" lines of defense and enterprise risk management will be discussed. The impact of "gamification" of the stock market and social media on compliance will also be discussed.

CPGL 0213. Econom Sanction Compliance. (1 Credit)

CPGL 0219. Privacy & Cybersecurity . (3 Credits)

CPGL 0225. Working with U.S. Regulations. (1 Credit)

CPGL 0226. Global Compliance Comparative Programs. (1 Credit)

CPGL 0228. Contracts. (2 Credits)

CPGL 0229. London Compliace Summit. (1 Credit)

CPGL 0239. Emerging Technology Tools for Compliance Programs . (1 Credit)

CPGL 0250. AI & Compliance Landscape. (1 Credit)

CPGL 0262. Introduction to Corporate Compliance. (3 or 4 Credits)
This course will explain the major features of an "effective" corporate compliance program. Students will have an opportunity to learn the fundamentals of rolling out a program for a global corporation and updating and refocusing a current program. Students will examine various areas of law, regulation and policy, as well as various industries to adapt the compliance protocols for the global corporation. This course will be skills oriented.
Attributes: CORC, CRCP, ICE, JD, LAW, LAWB, LAWI.

CPGL 0291. Corporate Compliance in Developing Brazil, Russia, India, China, and Underdeveloped Countries. (2 Credits)
The class will cover the compliance programs of companies doing business in the Developing (BRIC countries of Brazil, Russia, India and China) as well as Underdeveloped Countries. It will be a workshop class with assignments that will focus on a portfolio of documents necessary to update or refocus a compliance program in these countries and will include case studies on the BRIC countries.

CPGL 03010. Ethical Oversight of Emerging Technologies. (1 Credit)

CPGL 0302. Internet Transaction Safeguards and Evidence. (2 Credits)
In the seminar environment of this class, students explore new analytical frameworks applicable to rapidly evolving technologies and differing notions of protected electronic information in cybersecurity, privacy and trade secret protection. Students will work together to adapt new approaches to unsettled law in areas of e-commerce and management of data in healthcare, finance, education and other highly regulated areas. The class will also discuss how to best communicate these new frameworks to business stakeholders and provide advice on how to apply them to the impacts of rapidly changing developments in artificial intelligence on technology law jurisprudence and business operations. All e-commerce is global once the organization's website goes live so the impact laws of non-US jurisdictions, such the EU General Data Protection Regulation on US businesses will also be explored. This is a practice-ready class in which the practical is never far from the theoretical, and so we will focus on how to provide the best counsel where the law is in a constant state of change. There are no exams in this practice-ready class. Instead, students will prepare four short written assignments that will be similar to those they would face as first-year lawyers in a law firm, corporate legal department or government agency.
Attributes: CORC, CRCP, IPIE, JD, LAWI, LAWT, LDE, LIDR, LLM.

CPGL 0305. Creating Effective Training Programs. (1 Credit)

CPGL 0310. Corporate Sustainability: Complying with Evolving Global Framework & Regulations. (2 Credits)

CPGL 0311. Global Comparative Compliance. (1 Credit)

CPGL 03110. Bioethics, Policy, and the Law. (1 Credit)

CPGL 0312. Leading with Purpose – Key Skills and Qualities for Success in Your Compliance Career . (1 Credit)

CPGL 0313. Anti-Money Laundering. (1 to 4 Credits)
Fall 2019 semester: This course provides a comprehensive overview of the regulations and laws that govern the requirements for financial institutions in the United States in order to address the risk of money laundering and terrorist financing through banks and other financial institutions. Students will gain an understanding of controls implemented by financial institutions to combat the flow of illicit funds. By the conclusion of this course students should have an appreciation of the culture of compliance within financial institutions and understand the rules, regulations, and laws in place to combat money laundering and terrorist financing. <p> Spring 2020 semester: This course provides a comprehensive overview of the regulations, and laws that govern the requirements for Financial Institutions both in the United States and globally in order to address the risk of money laundering and terrorist financing through banks and other financial institutions. Students will gain an understanding of controls implemented by financial institutions to combat the flow of illicit funds. By the conclusion of this course students should have an appreciation of the culture of compliance within financial institutions and understand the rules, regulations, and laws in place to combat money laundering and terrorist financing.
Attributes: CORC, CRCP, INLJ, LAWB, LAWI, LLM.

CPGL 0321. bldg Effect Ethic & Compliance. (2 Credits)

CPGL 0333. Compliance Innovation & Technology . (1 Credit)

CPGL 0349. Business Organizations and the Foreign Corrupt Practices Act. (1 or 2 Credits)
Anti-bribery and corruption laws – particularly the US Foreign Corrupt Practices Act (FCPA) – have for many years been the subject of vigorous enforcement activity, making the FCPA and other anti-corruption laws a top legal concern for business organizations across all industry sectors doing business in the global marketplace. Thus, developing a pair of "FCPA goggles" is a fundamental skill-set for lawyers and compliance professionals. In this course, students will elevate their knowledge of the FCPA, including its anti-bribery, books and records, and internal controls provisions, and how the Department of Justice and Securities and Exchange Commission enforce the FCPA. In addition, students will gain practical skills by learning how to build strong Anti-Bribery and Corruption compliance programs that prevent, detect, and deter potentially illegal conduct.
Attributes: CORC, CRCP, LAWB.

CPGL 0369. Bitcoin, Blockchain, and the Law. (1 Credit)

CPGL 0399. Compliance Seminar. (2 Credits)
In this course, students will learn how to apply the learnings from behavioral ethics and other fields to the design and implementation of ethics and compliance programs that not only meet government standards of effectiveness, but also serve a company's broader long-term objectives. The course will also explore the practical challenges compliance officers face in building compliance programs and the nuanced decision-making and practical skills required to successfully address those challenges.
Attributes: CORC, CRCP.

CPGL 0402. Insurance Compliance. (2 Credits)
Insurance involves a contract in which an insurer agrees to compensate or indemnify another party for fortuitous event causing loss or damage in exchange for consideration. The underwriting process for this contract is heavily regulated in the United States in order to protect consumers. One aspect of consumer protection is ensuring the insurer's solvency so that it may pay claims. <p> It is important that students gain an understanding of compliance in the US insurance industry, given the industry's importance. Insurance in the United States is considered the world's largest insurance market by premium volume. Of the estimated $4.640 trillion of gross premiums written worldwide, $ 1.274 trillion were estimated to be written in the United States. <p> The insurance industry in the United States is regulated almost exclusively by individual state governments. The state-based insurance regulation system allows each state to operate independently to regulate their own insurance markets, typically through a state department of insurance or division of insurance. Through the years, federal regulation has now and then encroached upon the state regulatory system, challenging the current model to evolve, look for efficiencies, and to grow. Today, disruptors and innovators have entered the insurance market, with an influx of capital, challenging current insurance processes, norms, including the emergence of the insurance digital experience for consumers. Some experts believe that the digital impact will ultimately revolutionize the current insurance model and the regulatory environment that governs it. <p> Grading based on:
 Final Examination – 50%
 Case Study Exercise – 35%
 Class Participation – 15%.
Attributes: CRCP, LLM.

CPGL 0409. Cosmetics Regulation. (2 Credits)
Do you know what you are slathering on yourself when you shower? Does your government? This course will cover the governmental, industrial, and societal regulation of cosmetics and other personal care products. Topics will include the history of cosmetic regulation, the definition of cosmetics versus drugs, cosmetic misbranding and adulteration requirements under the 1938 Federal Food Drug and Cosmetic Act (FDAC) and additional Federal statutes, local regulations for select states, and how the FDAC is enforced. The course will consider issues that cosmetic companies regularly face, such as creating a brand identity; the importance of developing a safe and efficacious product; creating a robust quality program as a brand protection measure; the role of the FTC and their coordination with the FDA; complying with domestic and international guidelines across distribution channels as they relate to product formulation, label, and marketing; and social media and digital marketing strategies in the e-commerce era. The class will scrutinize current pending legislation for new U.S. regulations, and discuss industry trends, such as transparency in cosmetic ingredients and private company task forces to combat counterfeit cosmetics. At the end of the course, you will have a firm grasp on the U.S. cosmetic regulatory scheme and basic cosmetic company considerations.
Attributes: LAWF, LLM.

CPGL 0422. Compliance Colloquium. (1 Credit)
Online MSL students will complete a three-day colloquium at Fordham Law School's Manhattan campus. Students will engage with senior compliance officers and discuss current and emerging issues in the field of corporate compliance. Networking events will give students ample opportunity to meet each other and compliance professionals from the New York City area and beyond. This course will take place each August. Students join this course during the last summer term in which they will be enrolled.
Attribute: CORC.

CPGL 0521. Risk and Responsibility in Organization. (2 Credits)
Ethics and compliance has emerged as a key discipline in preventative law, gathering increasing attention and corporate resources. With this growth, and as corporations face unprecedented lack of trust and scrutiny of their conduct, questions about how businesses manage their overall approach to integrity have become more pressing. Companies are increasingly grappling with questions of ethics and integrity that challenge the primacy of shareholder value approaches and expand the remit of governance, risk and compliance approaches into stakeholder trust, organizational culture, and voluntary CSR and human rights standards. <p> This course will introduce key dimensions of organizational risk and responsibility – (sometimes called non-technical or non-financial risk). The focus is practical, not theoretical – what does evidence about how companies organize and manage themselves tell us about how risk and responsibility concepts are applied? It will cover a range of established and emerging integrity, ethics and compliance issues, including corruption, political risk, human rights, CSR, leadership, culture and behavior. The course will include academic and business readings as well as interactive case studies exploring the actions of real companies in pharmaceuticals, mining and telecoms. Students will apply practical solutions to real risk and corporate integrity challenges faced by multinational organizations in a variety of sectors, and explore the consequences for the compliance function and the core business.
Attributes: CORC, CRCP.

CPGL 0592. International Financial Crime. (2 or 3 Credits)
The line dividing legitimate international financial activities and illicit conduct is becoming increasingly blurred. This has meant that substantive legal and regulatory standards and enforcement and redress techniques have had to develop and adapt for use against those involved in using private organizations and financial institutions for criminal activities. <p> This course examines a selection of currently relevant criminal activities. We will focus on insider trading, market manipulation, money laundering, government corruption and bribery, and related topics. The primary focus will be US laws and regulation, but with consideration for international dimension of criminal activity and enforcement. The class will emphasize the role of private lawyers in advising clients about the prevention of criminal charges and in-house compliance policies and procedures, rather than the strategic choices to be made in the course of criminal trials. <p> Course Objectives
 The goal of the course is to help students to understand several key financial criminal areas, attendant regulatory environment and consider steps that financial industry can take through a well-considered compliance program to minimize the risk of such crime occurring. During the course we will explore the practices and hurdles to achieve a more fair and effective international financial system. Through the example of criminal areas considered students will acquire a familiarity with construction and operation of a financial industry compliance program. <p> Attendance Requirement
 Attendance at all class sessions is required. Enrolled students who do not attend the first class session will be dropped. Students seeking to enroll in this course must attend the first class session. <p> Course Requirement
 Students are expected to research and write a 15-20 page paper (double spaced) covering at least one of the topics covered in our syllabus. References should be in Blue Book format.
 Basis of Course Grade
 The student's grade will be determined as follows:
 • 25% for class participation (classroom discussion and collaborating with classmates/colleagues); and
 • 75% for the term paper .
Attributes: CORC, CRCP, LAWI.

CPGL 0609. Cyber Crisis Response. (1 Credit)

CPGL 0615. Regulation of Broker Dealers: A Practitioner Perspective for Compliance Officers. (2 or 3 Credits)
The primary objective of the course is to provide the student with a comprehensive understanding of the regulatory framework that a U.S. broker-dealer operates in and how to practically apply this knowledge in managing a compliance function in a securities firm. The course will review the relevant securities rules and regulations with a focus on those of the SEC and Finra, but it will also include other regulatory requirements that are relevant to securities firms such as the Bank Secrecy Act and the USA Patriot Act. An overview of certain applicable non-securities' rules and regulations, such as anti-money laundering regulations, will demonstrate the application of these regulatory requirements in the control structure of a broker-dealer and link these requirements to those of the securities regulator. <p> After completing the course, the student will have a solid knowledge base of the various regulatory requirements and their relationships with each other. This regulatory understanding will be supplemented with examples of constructive and relevant market practices which can be used to design and manage a successful compliance program in a broker-dealer firm. In addition, the course content will include an overview of the financial responsibility rules, margin requirements and regulatory reporting aspects of a broker-dealer that a compliance professional needs to be familiar with to effectively execute a compliance program. These financial and operational topics would be of interest to financial professionals. As such, this course would be particularly relevant to senior accounting students who have an interest in regulatory accounting matters in the securities industry and could be cross-listed with an accounting stream. In summary, the course will prepare the student to undertake greater responsibility as a compliance professional in a securities broker-dealer.
Attributes: CORC, CRCP, LAWB, LLM.

CPGL 0616. Regulatory and Compliance Environment. (2 Credits)

CPGL 0618. Environmental Law. (3 Credits)

CPGL 0620. Compliance Risk Assessment. (2 to 3 Credits)
The course is designed to provide an overview of the basic elements of the Compliance Risk Assessment and how the Assessment functions within an overall Compliance Program. In addition, it presents an overview of the key compliance risk and themes across the financial services industries, as well as certain key topical areas. The course also encourages critical about developing and conducting a Compliance Risk Assessment with a particular understanding of its strengths and its weaknesses.
Attributes: CORC, CRCP, EXP, INLJ, LLM.

CPGL 0629. Business Ethics. (1 to 2 Credits)

CPGL 0630. Ethical Oversight in Finance. (1 Credit)

CPGL 0701. Market Regulation. (1 Credit)

CPGL 0708. Leading with Purpose – Key Skills and Qualities for Success in Your Compliance Career . (1 Credit)

CPGL 0715. Investigatin and Prosecuting Financial Crimes. (1 Credit)

CPGL 0780. Corruption. (2 Credits)
Corruption is broadly defined as the misuse of trusted authority for personal gain and includes such crimes as bribery, extortion, embezzlement and fraud. In recent years, corruption has played a central role in geopolitical movements and in United States politics and government. And yet, both the phenomena of corruption and the laws prohibiting it are not widely understood. The course will explore the laws governing corruption, the anatomy of the crime of corruption and corruption as social and political phenomena. We will examine current corruption cases, investigations and coverage in the media, including in relation to the Trump Administration, the Mueller Investigation, the prosecution of Sheldon Silver, former Speaker of the New York Assembly, and other prominent domestic matters; and in China, Afghanistan, the Ukraine and other areas of the world.<p> The course will also cover practices to prevent, investigate and remedy corruption in the private sector and government.<p> Course Materials:
 Course readings will include original materials from corporate, government and non-governmental organizations sources media reports; and case law, treatise and legal articles on key applicable areas of the law. We will also draw on the primary course text: Confronting Corruption: Past Concerns, Present Challenges, and Future Strategies, Fritz Heimann and Mark Pieth. <p> Method of Evaluation: Grading will be based on written assignments on class topics and readings; and a final examination Class participation is an integral part of the course and students enrolling in the course should be prepared to engage in active discussion of the course materials and in-class presentations.
Attribute: LLM.

CPGL 0901. Introduction to Corporate Compliance. (3 Credits)
This course will explain the major features of an "effective" corporate compliance program. Students will have an opportunity to learn the fundamentals of rolling out a program for a global corporation and updating and refocusing a current program. Students will examine various areas of law, regulation and policy, as well as various industries to adapt the compliance protocols for the global corporation. This course will be skills oriented.
Attributes: CORC, LLM.

CPGL 0904. Bioethics, Policy, snf the Law. (1 Credit)

CPGL 0909. Policymaking Through Government Settlements and Enforcement. (2 Credits)
This seminar, taught by Martin J.E. Arms, a retired Partner and present Of Counsel at Wachtell, Lipton, Rosen & Katz, examines the recent proliferation of large-scale settlements between private corporations and government prosecutors and regulators. Such settlements include the $200+ billion multistate tobacco settlement, the UBS off-shore tax shelter case, BP Deepwater Horizon, the VW diesel controversy, and the $25 billion National Mortgage Settlement and certain other large settlements arising out of the fiscal crisis. The seminar will look at (i) the manner in which such settlements have arisen and been structured; (ii) the laws (such as New York State's Martin Act, the federal FIRREA statute, class action laws, and state tort laws) that help force such settlements; (iii) various challenges to reaching such settlements; (iv) aspects of such settlements that require changes to the settling corporation(s)' business practices, as opposed to mere financial payment, and whether requiring such changes through the threat of prosecutorial action is appropriate; (v) the rise of the Independent Monitor construct; and (vi) legal, political and business consequences arising from such settlements.
Attributes: CORC, CRCP, LLM.

CPGL 0992. Compliance Programs. (1 Credit)

CPGL 0993. Antitrust Compliance. (1 to 2 Credits)

CPGL 1030. Introduvtion to ESG. (1 Credit)

CPGL 1031. Disability Compliance. (2 Credits)

CPGL 1033. Compliance Mindsets: What Are They Thinking? . (1 Credit)

CPGL 9807. Corporate Self-Policing through Compliance Programs: Seminar . (2 Credits)
In this course, students will learn how to apply the learnings from behavioral ethics and other fields to the design and implementation of ethics and compliance programs that not only meet government standards of effectiveness but also serve a company's broader long-term objectives. The course will also explore the practical challenges compliance officers face in building compliance programs and the nuanced decision-making and practical skills required to successfully address those challenges.
Attributes: CORC, CRCP, LLM.